SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Bawa Aparna			2. Issuer Name and Ticker or Trading Symbol Zoom Video Communications, Inc. [ZM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				- X	Director Officer (give title below)	10% Owner Other (specify below)		
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)		Chief Operating	,		
C/O ZOOM VIDEO COMMUNICATIONS, INC.			06/14/2021		Ciller Operating	g Officer		
55 ALMADEN BOULEVARD, 6TH FLOOR								
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ng (Check Applicable			
(Street)		05112		X	Form filed by One Re	porting Person		
SAN JOSE	CA	95113			Form filed by More the Person	an One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securitie: Disposed O		ed (A) or tr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	06/14/2021		S ⁽¹⁾		200	D	\$359.991 ⁽²⁾	156,376 ⁽³⁾⁽⁴⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		300	D	\$362.1283 ⁽⁶⁾	156,076 ⁽³⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		300	D	\$363.1667 ⁽⁷⁾	155,776 ⁽³⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		399	D	\$364.5591 ⁽⁸⁾	155,377 ⁽³⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		394	D	\$365.5979 ⁽⁹⁾	154,983 ⁽³⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		400	D	\$366.7812 ⁽¹⁰⁾	154,583 ⁽³⁾	I	See footnote ⁽⁵⁾
Class A Common Stock	06/14/2021		S ⁽¹⁾		600	D	\$368.1725(11)	153,983 ⁽³⁾	I	See footnote ⁽⁵⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number 6. Date Exercisable and of Expiration Date (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$359.69 to \$360.32. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

3. The shares were issued pursuant to an option that was early exercised by the Reporting Person, and 112,500 shares are subject to the Issuer's right to repurchase as of the date hereof.

4. Includes 695 shares of Class A Common Stock purchased pursuant to the Zoom Video Communications, Inc. 2019 Employee Stock Purchase Plan ("ESPP"), for the purchase period of December 13, 2020 to June 12, 2020. In accordance with the ESPP, these shares were purchased at a price equal to 85% of the closing price of Issuer's Class A Common Stock on April 17, 2019.

5. The shares are held of record by Rafik Bawa and Aparna Bawa, as Trustees of the Bawa Family Trust under agreement dated November 12, 2013, for which the Reporting Person and the Reporting Person's spouse serve as trustees.

6. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$361.85 to \$362.64. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

7. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$362.91 to \$363.37. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

8. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$364.20 to \$365.13. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

9. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$365.21 to \$365.80. The Reporting Person undertakes to provide the

Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

10. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$366.22 to \$367.20. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

11. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$367.66 to \$368.53. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the staff at the Securities and Exchange Commission, upon request, the full information regarding the number of shares sold at each separate price within the ranges set forth above.

Remarks:

<u>/s/ Aparna Bawa</u>

06/16/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.